## EXHIBIT 5

Г	
	Page 1
	UNITED STATES DISTRICT COURT
	NORTHERN DISTRICT OF OHIO
	EASTERN DIVISION
	IN RE: NATIONAL PRESCRIPTION
	OPIATE LITIGATION   MDL No. 2804
	This document relates to:   Case No. 17-md-2804
	Jennifer Artz v. Endo Health   Judge Dan Aaron Polster
	Solutions Inc., et al.
	Case No. 1:19-OP-45459
	Darren and Elena Flanagan v.
	McKesson Corporation, et al.
.	Case No. 1:18-OP-45405
	Michelle Frost, et al., v.
	Endo Health Solutions Inc.,
	et al.
	Case No. 1:18-OP-46327
	Walter and Virginia Salmons,
	et al., v. McKesson
	Corporation, et al.
	Case No. 1:18-OP-45268
,	
-	VIDEOTAPED DEPOSITION OF
2	DR. CHARLES VYVYAN HOWARD
3	January 27, 2020
:	Chicago, Illinois

```
Page 2
    A P P E A R A N C E S:
1
 2
 3
    ON BEHALF OF PLAINTIFFS:
          THOMPSON BARNEY LAW FIRM
4
5
         2030 Kanawha Boulevard, East
         Charleston, West Virginia 25311
6
7
         304.343.4401
    BY: KEVIN W. THOMPSON, ESQ.
8
9
         kwthompson@gmail.com
    - also -
10
11
    THE BILEK LAW FIRM, L.L.P.
         700 Louisiana, Suite 3950
12
13
         Houston, Texas 77002
         713.227.7720
14
15
    BY: THOMAS E. BILEK, ESQ.
         tbilek@bileklaw.com
16
17
    - also -
18
    MARTZELL, BICKFORD & CENTOLA
19
          338 Lafayette Street
20
         New Orleans, Louisiana 70130
21
         504.581.9065
    BY: SCOTT R. BICKFORD, ESQ.
22
         srb@mbfirm.com
23
24
```

```
Page 3
    A P P E A R A N C E S: (Continued)
1
 2
 3
    - also -
          COOPER LAW FIRM, L.L.C.
5
          1525 Religious Street
6
         New Orleans, Louisiana 70130
7
         504.399.0009
    BY: STUART H. SMITH, ESQ.
8
9
         (Telephonic appearance)
          info@sch-llc.com
10
11
    ON BEHALF OF DEFENDANT McKESSON CORPORATION:
12
13
          SHOOK, HARDY & BACON L.L.P.
14
          Jamboree Center
15
         5 Park Plaza, Suite 1600
          Irvine, California 02614-8502
16
17
         949.475.1500
18
    BY: MICHELLE M. FUJIMOTO, ESQ.
19
         mjfujimoto@shb.com
20
    - also -
21
22
23
24
```

```
Page 4
    A P P E A R A N C E S: (Continued)
1
 2
 3
         COVINGTON & BURLING LLP
         One CityCenter
5
         850 Tenth Street, NW
6
         Washington, DC 20001-4956
7
         202.662.6000
    BY: EMILY S. ULLMAN, ESQ.
8
9
         eullman@cov.com
         COLLEEN SMITH, ESQ.
10
         csmith@cov.com
11
12
13
    ON BEHALF OF DEFENDANT H.D. SMITH:
14
         BARNES & THORNBURG LLP
15
         11 South Meridian Street
          Indianapolis, Indiana46204-3535
16
         317.236.1313
17
18
    BY: KATHLEEN L. MATSOUKAS, ESQ.
       kmatsoukas@btlaw.com
19
20
21
22
23
24
```

```
Page 5
    A P P E A R A N C E S: (Continued)
1
 2
 3
    ON BEHALF OF DEFENDANT ALLERGAN:
         KIRKLAND & ELLIS LLP
4
         300 North LaSalle
 5
6
         Chicago, Illinois 60654
 7
         312.862.2000
    BY: MARIA PELLEGRINO RIVERA, ESQ.
8
         mrivera@kirkland.com
9
10
11
    ON BEHALF OF DEFENDANTS MALLINCKRODT LLP and
    SPECGX LLC:
12
13
         ROPES & GRAY LLP
14
         Prudential Tower
15
         800 Boylston Street
         Boston, Massachusetts 0219903600
16
         617.951.7000
17
18
    BY: JENNIFER PANTINA, ESQ.
19
          jennifer.pantina@ropesgray.com
2.0
21
22
23
24
```

```
Page 6
    A P P E A R A N C E S: (Continued)
1
 2
    ON BEHALF OF DEFENDANT TEVA PHARMACEUTICALS USA
 3
     and RELATED ENTITIES:
 4
          BLANK ROME
 5
          One Logan Square
 6
 7
          130 North 18th Street
          Philadelphia, Pennsylvania 19103
8
9
          215.569.5500
    BY: MELANIE S. CARTER, ESQ.
10
11
          mcarter@blankrome.com
12
    - also -
13
          JUSTINA L. BYERS, ESQ.
14
         (Telephonic appearance)
15
          byers@blankrome.com
16
17
    ON BEHALF OF DEFENDANT CARDINAL HEALTH:
18
          WILLIAMS & CONNOLLY LLP
19
          725 Twelfth Street NW
20
          Washington, DC 20005
21
         202.434.5000
    BY: J. ANDREW KEYES, ESQ.
22
23
          akeyes@wc.com
24
```

```
Page 7
    A P P E A R A N C E S: (Continued)
1
 2
 3
    ON BEHALF OF DEFENDANT WALGREENS:
         BARTLIT BECK LLP
4
         Courthouse Place
 5
6
         54 West Hubbard Street, Suite 300
 7
          Chicago, IL 60654
         312.494.4400
8
9
    BY: SHARON DESH, ESQ.
      sharon.desh@bartlitbeck.com
10
11
    ON BEHALF OF ENDO and PARR DEFENDANTS:
12
13
         ARNOLD & PORTER KAYE SCHOLER LLP
14
         250 West 55th Street
15
         New York, New York 10019-9710
         212.836.8000
16
17
    BY: ANGELA R. VICARI, ESQ.
          angela.vicari@arnoldporter.com
18
19
20
21
22
23
24
```

```
Page 8
    A P P E A R A N C E S: (Continued)
1
 2
 3
    ON BEHALF OF DEFENDANT AMERISOURCEBERGEN:
          REED SMITH LLP
4
 5
          Three Logan Square
          1717 Arch Street, Suite 3100
 6
 7
          Philadelphia, Pennsylvania 19103
         215.851.8100
8
9
    BY: JENNIFER B. JORDAN, ESQ.
          jennifer.jordan@reedsmith.com
10
    - also -
11
12
          KRISTEN ASHE, ESQ.
13
          (Telephonic appearance)
14
          kashe@reedsmith.com
15
    ON BEHALF OF DEFENDANT WALMART:
16
17
          JONES DAY
          77 West Wacker, Suite 3500
18
19
          Chicago, Illinois 60601-1692
20
         312.782.3939
21
    BY: NICOLE C. HENNING, ESQ.
         nhenning@jonesday.com
22
23
24
```

```
Page 9
    A P P E A R A N C E S: (Continued)
1
 2
 3
    ON BEHALF OF THE RITE AID DEFENDANTS:
          MORGAN LEWIS & BOCKIUS LLP
4
          77 West Wacker Drive
 5
6
          Chicago, Illinois 60601-5094
 7
         312.324.1000
    BY: GREGORY T. FOUTS, ESQ.
8
9
          gregory.fouts@morganlewis.com
10
11
    ON BEHALF OF DEFENDANTS GIANT EAGLE and HBC:
          MARCUS & SHAPIRA LLP
12
          301 Grant Street, 35th Floor
13
14
         One Oxford Centre
15
          Pittsburgh, Pennsylvania 15219-6401
          412.471.3490
16
17
    BY: MATTHEW MAZGAJ, ESQ.
18
          (Telephonic appearance)
19
          mazqaj@marcus-shapira.com
2.0
21
22
23
24
```

```
Page 10
    A P P E A R A N C E S: (Continued)
1
 2
 3
    ON BEHALF OF DEFENDANT PRESCRIPTION SUPPLY, INC.
          FOX ROTHSCHILD LLP
 4
          2700 Kelly Road, Suite 300
 5
          Warrington, Pennsylvania 18976
 6
 7
          215.345.7500
    BY: STEPHANIE B. FINEMAN, ESQ.
8
9
          (Telephonic appearance)
          sfineman@foxrothschild.com
10
11
    ON BEHALF OF DEFENDANT JANSSEN:
12
13
          O'MELVENY & MYERS LLP
14
          400 South Hope Street, 18th Floor
15
          Los Angeles, California 90071-2899
          213.430.6000
16
    BY: ROBERT WINSON, ESQ.
17
18
          (Telephonic appearance)
19
          rwinson@omm.com
2.0
21
    ALSO PRESENT:
          Mr. Kevin Duncan, Videographer
22
23
24
```

\* \* \*

windows of vulnerability, so the timing here is extremely important.

- Q. And we'll get into the details of that during the course of the day, but let me just -- I think where you're going with this is am I correct that when you're looking at things that might affect the development of a fetus, timing of when the fetus is exposed to a substance is important?
  - A. Yes.

- Q. Is it also the nature or type of substance that they're exposed to matters, right?
  - A. Clearly.
- Q. Okay. And am I right that the duration of exposure will affect a fetus differently, short- versus long-term exposure during the pregnancy?
- A. Again, it depends which windows of vulnerability it's moving through, but in the nervous system, for apoptosis, that's quite a protracted period.
- Q. Okay. And so it can then, the duration can, not always, but can have an

Page 45 impact on the fetus? 1 2 Α. True. 3 Q. Okay. So we got timing, duration, nature -- you know, the type of substance --4 5 Α. Dose. We'll go through it, but -- I assume 6 Ο. 7 then that anything that the fetus is exposed to could potentially impact that fetus? 8 9 Α. That's a very general statement. Ο. Right. But so anything the mom 1.0 takes, whether it's drugs, what she eats, 11 12 whether she's exposed to environmental hazards, 13 maybe depending upon where she lives or works, all of those things could potentially impact 14 15 the fetus, right? 16 Α. Things -- yeah, things have a potential to impact the fetus, of course. 17 18 Ο. Okay. Genetics would affect the 19 fetus, right? 2.0 Genetics can have an effect, yes, 21 all part of the mix. 22 Do you consider yourself a leading 23 world expert on how prescription opioid use 24 impacts fetus's neurological development?

\* \* \*

	Page 101
1	woman and determine what
2	else she was exposed to,
3	when, the dose, the
4	duration, the timing of a
5	lot of other exposures, that
6	by the scientific literature
7	we know, could actually have
8	an impact on the neurologic
9	and structural development
10	of fetuses."
11	BY MS. FUJIMOTO:
12	Q. Is that accurate, Doctor, to your
13	knowledge?
14	A. The other factors could be
15	contributory to the known exposure to opioids.
16	Q. Right.
17	A. Correct.
18	Q. Okay. Thank you.
19	Five minutes, let me see if we can
20	get through this exhibit, and then we'll take a
21	break, okay?
22	A. Yes.
23	Q. Going back to Exhibit 6, which is
24	the e-mail traffic, let's see, the

\* \* \*

Page 179 effect is large, you know. 1 2 This is another paper that you cited 3 I'll mark as Exhibit 10 to the deposition --(Exhibit 10 marked for 5 6 identification.) 7 BY MS. FUJIMOTO: -- by Gilardi: Will Widespread 8 9 Synthetic Opioid Consumption Induce Epigenetic Consequences in Future Generations? 10 11 You recognize that? 12 Α. I do. Okay. And it talks right upfront 13 Q. 14 there in the first -- second sentence of the 15 abstract. It says: 16 Both maternal and paternal 17 transmission of phenotype across generations 18 has been proved, demonstrating that parental drug history may have significant implications 19 20 for subsequent generations. 21 Do you see that? 22 Α. I do. All right. And so I take it you 23 Ο. 24 agree with me that a woman's genetics will

Page 180 influence how the fetus is impacted by 1 2 different exposures, whether it's opioids or 3 not? And I presume, also, if the father Α. 5 had been exposed that it might come from him as well. I mean, you know, that's a possibility. 6 This is well-known. 7 Ο. And so that was my second question. 8 9 Not only the genetics of the mother, but the genetics of the baby's father is 10 11 important in whatever effects opioid exposure 12 might have on that fetus, right? 13 Α. In the epigenetic influences, yeah. And so epigenetic inheritance that 14 15 each baby gets from their parents, that can include not just prenatal exposure, but 16 parental exposure too, right? 17 18 Α. As illustrated in Figure 1. 19 Q. Yes. 2.0 Like it says here on page 2, under 21 Molecular Mechanisms Underlying the Impact of 22 Drugs, it says: 23 A family history of drug abuse 24 correlates with increased risk of drug use in

```
Page 181
    offspring.
1
2
                Correct?
3
          Α.
                Yes.
          Ο.
                Okay. So --
4
5
          Α.
                Can you just show me exactly
    where --
6
7
                Right here.
          Q.
          Α.
                There, yeah.
8
9
          Q.
                But we know that to be true, right?
10
          Α.
                Yes.
11
                And so if you're looking at women
          0.
12
    who have used prescription opioids, the use of
13
    a prescription opioid during pregnancy is not
    the only thing that is important, but her
14
15
    history of drug use would be important too,
    right, in terms of the impact on the fetus?
16
17
                It would -- I suspect that that is
          Α.
18
    what a clinician would want to collect.
19
                And every pregnant woman has
20
    different genetics and a different history as
21
    to whether that lot they used had a drug abuse
22
    history or never used a drug at all, right --
23
          Α.
                Yes.
24
          Q.
                -- true?
```

And then for each of those babies, the genetics and the drug history of the father comes into play as well because of this idea of epigenetic modifications in the role of sperm RNA.

- A. Yes, but it is important not to lose site of the fact that the genome of the fetus is also being exposed, and that can cause these effects as well.
- Q. And so we have the genetics and drug history use of the father, the genetics and drug history use of the mother and the genetics of the baby, right?
  - A. Correct.
- Q. That are all important to whatever impact might happen as a result of opioid exposure?
  - A. That's correct.
- Q. Okay.

1

2

3

4

5

6

7

8

9

1.0

11

12

1.3

14

15

16

17

18

19

2.0

21

23

24

MS. FUJIMOTO: Okay. We need to take a break. We have to change tapes.

THE WITNESS: Oh, right.

THE VIDEOGRAPHER: Going off the video at 12:30 p.m.

```
Page 183
                        (Recess taken.)
1
2
             AFTERNOON SESSION
3
                THE VIDEOGRAPHER: We are going back
         on the video record at 1:13 p.m. You may
4
5
         proceed.
    BY MS. FUJIMOTO:
6
7
                Welcome back, Dr. Howard.
         Q.
                I want to stick with -- we ran out
8
9
    of time -- before we finished with exhibit, I
    think it would be 10. That's the Gilardi
10
11
    paper.
12
         Α.
               I have that.
13
                Okay. Great. Thank you.
         Q.
                If you would go to page 4 of that
14
15
    paper, and the section I want to ask you about
16
    is Consequences of Opioid Prenatal Exposure.
17
                Do you see that?
18
         Α.
                Can you direct me to it?
19
                Right here.
         Q.
20
                There. Page 4. Oh, yes, sorry.
         Α.
21
    It's in the big writing.
22
                The big, bold, black writing. Okay.
         Q.
                The last sentence of the first
23
24
    paragraph of that section says:
```

Page 184 Prenatal opioid exposure can induce 1 2 neonatal abstinence syndrome (NAS) in newborn 3 infants, but knowledge about its long-term effects is limited. 4 Do you agree with that? 5 We are going to find out more as 6 7 these children get older, so this is really one of the reasons why we need to have a monitoring 8 9 committee to -- to study that, and learn more, so that we can really understand the spectrum 10 11 of disease and the nature of disease. 12 MS. FUJIMOTO: Move to strike, 13 nonresponsive. BY MS. FUJIMOTO: 14 15 Doctor, my question is do you agree with the statement that: 16 17 Knowledge about long-term effects of 18 opioid exposure, prenatal opioid exposure is limited. 19 2.0 Do you agree with that? 21 Α. It isn't full -- I mean we don't have full information, so --22 23 Ο. Okay. 24 Α. -- from that point of view, it is

Veritext Legal Solutions

www.veritext.com

888-391-3376

Page 185 limited, yes. 1 2 0. Okay. And if you go down then to 3 probably three quarters of the way down that next paragraph, the words "Indeed," the 4 sentence starts with "Indeed." 5 Do you have that? 6 7 Α. I do. Indeed, despite multiple efforts 8 9 aiming at modeling the contributions of maternal opioid dose and of the concurrent 10 11 exposure to other medications or illicit drugs, the results remain so far inconclusive. 12 13 Do you agree with that statement? 14 That is inconclusive with respect to 15 knowledge of long-term effects. Um-hmm. 16 0. 17 Well, I'm going to defer this to Α. 18 Dr. Anand anyway. This is more -- the clinical picture is definitely his specialty. I am 19 20 trying to give the Court an understanding of 21 the process, the mechanism. 22 So does that mean you don't agree or disagree with the statement that the 23 24 contributions of maternal opioid dose and of

2.0

Page 186

the concurrent exposure to other medications or illicit drugs remain inconclusive?

A. I think they remain incomplete and that that information is still being collected, particularly as these cohorts get older.

The information we do have at the minute is that as they get older, the discrepancy between the exposed and nonexposed controls is increasing.

Q. If you go to the right-hand column, first full paragraph, they write:

Regarding the long-term consequences of in utero opioid exposure, clinical studies in humans are extremely complicated by the huge amount of variables, in paren, (i.e., doses and length of treatment) and of concurring risk factors that are often present, such as polysubstance use, stability, mother-child interaction, et cetera.

Do you agree with that statement?

- A. I agree that it is complicated, yes.
- Q. And if you go down to the bottom of that column, last sentence of the second to the last paragraph, they say:

2.0

Page 187

Collectively, however, these data must be taken with caution due to the heterogeneity of prenatal drug exposure and the difficulty to disassociate opioid effects from other risk factors to which they are often associated.

Do you agree with that statement?

- A. Yeah, well, scientists always take data with caution in complex cases, but I don't think that the author is trying to argue that we shouldn't collect it.
- Q. I'm not suggesting that's what they're trying to say.

Do you agree that there is profound heterogeneity between prenatal drug exposure and that it is difficult to parcel out the effects of the opioids from all the other substances and risk factors that are often present?

A. As I've already stated on the record, these can be contributory factors, but, in these cases, what we do know is that there has been exposure to opioids and these children get withdrawal symptoms, which, if they're

treated postnatally with opioids, respond. So that's proof that it is the opioids that's causing their problem at that time, and we have a diagnosis.

So it's complicated because there are many factors, but what we do know in these cases is that opioids are implicated, and there may be other contributory factors.

- Q. You do understand that the term "neonatal abstinence syndrome" can be used and diagnosed with relation to exposure to drugs other than opioids, don't you?
  - A. Yes, I do.

2.0

- Q. Okay. And so you agree that the data show significant variability in the use of a variety of different substances, opioids, benzodiazepines, alcohol, tobacco, all kinds of things --
  - A. Yeah.
- Q. -- that it's difficult to parcel out the effect of the opioid from the effect of other substances that can cause NAS --
  - A. But --
  - Q. -- or other substances that can

Page 189 cause other cognitive problems down the road? 1 2 But, if the child responds to opiate 3 therapy to reduce its symptoms, withdrawal symptoms, then that simplifies the question 4 quite a lot. Clearly, the opiates will have 5 been -- because if the child was addicted to 6 7 something else, it wouldn't respond necessarily to opioid therapy. 8 9 Including benzos and other substances? 1.0 11 Α. That might help. 12 Q. Are you sure? 13 Α. Hmm? 14 I mean, are you sure that --0. 15 Α. That is -- I am not involved in 16 treating children, but if they respond specifically to opioids, that would indicate 17 18 that there has been opioid exposure. 19 But, again, this is something I 2.0 think that Dr. Anand will address and --21 Q. Right. So you don't have expertise in the area of NAS treatment? 22 23 Α. I do not. 24 Q. Okay. Do you have any knowledge as

Veritext Legal Solutions
www.veritext.com
888-391-3376

Page 190 to whether NAS symptoms can resolve without 1 2 opioid treatment? 3 Α. They can. Not all children are treated with opioids --4 5 Q. Right. Α. -- postnatally. 6 7 Ο. So for those NAS babies who are diagnosed with NAS but aren't treated with 8 9 opioids, how do you know -- how can you then confirm that their symptoms were attributed 10 11 only to opioids, and not something else like benzodiazepines? 12 13 Well, we have the prescription history that they have been taking opioids, so 14 15 they will be having an effect. And the other thing is they can be contributory effects, but, 16 as I understand it, there has to be inadequate 17 18 dose given to precipitate NAS in these 19 children. 2.0 Q. Okay. First question is: 21 You agree, though, then it's difficult to parcel out the other contributory 22 effects that you've acknowledged? 23 24 Α. To actually quantify them.

Veritext Legal Solutions
www.veritext.com
888-391-3376

- Q. Right, to parcel the amount and understand whether or not they could have a role and to what extent?
- A. Well, you would be able to take a history presumably.
  - Q. Right.

2.4

- A. But that's, again, a clinical question. I think I've not been involved in trying to elucidate those problems. Dr. Anand presumably has.
- Q. Okay. So you don't have an opinion with respect to women who have both a prescription for opioids during pregnancy but also have reported history in the medical records of using benzodiazepine or other substances?
- A. I think that -- my opinion is that these children have been diagnosed by clinical attendants to have NAS, and that they have a history of opioids, and that's what I'm trying to inform the Court about is how that damage -- any damage could have been caused.
  - Q. But you're acknowledging that other

Page 192 things can cause damage? 1 2 It's complicated, yes. 3 Q. Yes, okay. And you mention -- you've mentioned 4 5 a few times that the fact that a baby is diagnosed with NAS confirms for you that they 6 7 had adequate exposure to opioids. Α. That is the -- that is the effect 8 that I'm basing my opinion on, that any damage 9 for -- or a proportion, say, of the damage they 10 11 have suffered could be through a mechanism which affects apoptosis. 12 13 And so what dose is an adequate dose Q. in your view? 14 15 Α. One enough to produce NAS. 16 0. And so if the baby is not diagnosed with NAS, they didn't have an adequate dose? 17 18 I think that opioid exposure without NAS does occur -- well, we know it occurs, and 19 20 I think that they could be damaged as well. I 21 don't think you have to have NAS to have affected apoptosis. 22 You know, as I told you before the 23 24 break, this is of massive doses, massive doses.

I can give you -- I can give you an example of the susceptibility of the fetus to -- and the exquisite sensitivity that Professor Soto had down in Tufts. She's exposed pregnant rats to Bisphenol A and estrogen.

- Q. Right, and so --
- A. Can I just finish?
- Q. Sure.

2.4

A. And this was at 1/250 thousandaths of the dose you're required to produce an effect in an adult, and it produced a massive obvious change, naked-eye in the microscope change, to the breast tissue which was subsequently concerned to be malignant, so --premalignant.

So that's an example is that the fetus is working with cell-signaling molecules at parts per trillion, and they are physiologically active at that level, and we're putting in massive boluses of cell-signalling molecules, which in evolution, they've -- you know, they don't know how to cope with them basically. That's the problem.

It is this exquisite sensitivity to

Page 194 the cell-signalling environment in the fetus, 1 2 produces completely different effects than it would in an adult. 3 Okay. So the question is: 4 Q. 5 Given this profound or this massive exposure, nonetheless, there are babies born 6 7 who are not diagnosed with NAS, babies born that -- to mothers who have used opioids, 8 9 right? 10 Α. Yes. And is it your testimony, then, that 11 0. even those babies that haven't been diagnosed 12 13 have been harmed by the opioid exposure? So this case here is dealing with 14 15 babies that have had NAS diagnosed, and that's what I'm addressing. 16 17 Now, all the things we have been 18 talking about for the last hour or two, 19 polymorphisms, the genetics of the mother and 20 the father, the complexity of cell signaling, 21 dimerization, all these things mean that there will be a variable response --22

A. -- accepted.

Right.

Q.

23

24

Page 195 0. Right. 1 2 Α. But we are dealing with very high 3 doses of cell-signalling molecules which are known to be able to induce apoptosis. 4 So I don't -- I would not rule out 5 damage with opioid exposure which doesn't 6 7 produce NAS. Because given the high variability 8 9 of, if not -- even more than variable, but individual fetal response to drug exposure, 10 11 there can be harm in the setting of no diagnosis is your point? 12 13 Α. Of NAS. 14 Ο. Yeah. Right. 15 Α. Absolutely. 16 0. Okay. And there can be harm to 17 fetuses that don't have NAS -- or have not been 18 diagnosed with NAS, there can be harm caused to them developmentally or otherwise by the use of 19 20 other drugs and substances by the mother, 21 right? 22 Certainly that could happen. 23 Okay. And, then, so for the mothers 0. 24 that used both opioids and other substances,

Page 196 there will be individual and variable effects 1 2 of each of those substances in different ways 3 on each fetus, right? Yes, unpredictably and different. 4 Α. 5 But with massive doses, some of these processes, such as cell migration and 6 7 apoptosis, are very, as I've tried to explain, susceptible to high-dose effects because they 8 9 are relying on a balance of very low dose cell signalling in the physiological state. So that 10 11 is why I think that harm could occur at doses 12 that don't produce FAS [sic]. (Exhibit 11 marked for 13 14 identification.) 15 MS. FUJIMOTO: I will mark as 16 Exhibit 11 to the deposition the paper that 17 you cited and relied upon by Susan 18 Robinson, 2002, about the Effects of 19 Perinatal Buprenorphine in Methadone 2.0 Exposures on Striatal Cholinergic Ontogeny. 21 You see that, Doctor? 22 Α. Yes. 23 Okay. And this is a study that was Ο. done on buprenorphine and methadone, both of 24

Veritext Legal Solutions
www.veritext.com
888-391-3376

\* \* \*

Page 232 Q. Okay. 1 2 Α. I don't think I actually edited that chapter, by the way. 3 Okay. Let's see about the next 4 Q. 5 chapter. 6 So if you go toward the end of 7 Exhibit 12, page through a few, and there is a chapter on Clinical Teratology. 8 9 Α. Yes. 10 Q. Do you have it? 11 Α. I do. 12 Q. Okay. That -- it would be page 147 13 of this book chapter and the second paragraph. 14 Α. Yes. 15 Q. It says: Susceptibility to teratogenic agents 16 17 depends on the combination of several factors 18 including the genotype of the mother and/or of 19 the fetus, dosage, the gestational period at 20 exposure, pharmacokinetics and pharmacodynamics 21 of the substance. 22 Do you agree with that? Well, we discussed most of that 23 Α. 24 already, and yes, that's a statement of fact.

Page 254 various exposures, whether it's multiple drug 1 2 exposure, maternal incarceration, complex or 3 violent family situations, nutritional deficiencies, and other transmission of 4 5 infections, do you have an understanding that all of these factors can impact the post-birth 6 7 development cognitive function and academic performance in babies that had been diagnosed 8 9 with NAS when they were born? MR. THOMPSON: Object to form, 1.0 11 continuing objection, beyond scope. 12 THE WITNESS: I -- certainly from my 13 medical knowledge, all of those could potentially impact on a child. 14 15 MR. THOMPSON: Could I borrow a Post-it Note? 16 17 MS. FUJIMOTO: Sure. 18 MR. THOMPSON: Maybe a couple. 19 Thank you very much. 2.0 (Exhibit 14 marked for 21 identification.) 22 BY MS. FUJIMOTO: 23 Mark as Exhibit 14 to the deposition 24 another paper you cited by Cheryl Broussard,

Page 261 to a specific reason, opioids were most 1 2 commonly reported within surgical procedures, 3 infections, chronic diseases, and injury sections of the questionnaire. 5 Do you see that? Α. Yes. 6 7 Ο. And so this tells us that there's a large percentage of women who use opioids 8 9 during pregnancy, who are prescribed opioids for reasons other than being addicted, right? 1.0 11 Α. Yes. 12 Q. Okay. Let's see. 13 Okay. If you go to page 314.e6, or, you know, before you do this, let me just ask 14 you this question: 15 16 When we talk about the percentage of 17 women who are prescribed opioids for a reason other than addiction, are you familiar with 18 ACOG guidelines as to the recommended practice 19 20 of prescribing opioids in these non-addiction 21 settings? 22 MR. THOMPSON: Objection, beyond 23 scope. THE WITNESS: I think I have read 24



Page 287 Α. Yes. 1 2 0. Well, then why wouldn't there be 3 high variability? The normal physiological condition 4 in the developing fetus is very low doses which 5 control cell signaling and, therefore, the 6 7 likelihood of apoptosis in a particular cell or And this is a high-dose toxicology to the 8 9 fetus when the mother takes therapy --1 0 Can you show me any paper that takes animal data and then confirms it in human 11 12 epidemiologic studies that show a substance can 13 cause birth defects and later cognitive neurodevelopmental effects, irrespective of 14 15 timing of exposure?

- A. Irrespective of timing of exposure?
- Q. Irrespective of timing of exposure.
- A. So we have talked about -- the first three weeks of human existence in the womb are refractory.
  - Q. Right.

16

17

18

19

20

21

22

23

24

A. Nothing much is going to happen.

Then you move into another period up to week 12 to week 16 where organogenesis is going on, and

Veritext Legal Solutions

www.veritext.com

888-391-3376

Page 301

investigation. I have acknowledged that they can be contributory, and it is my opinion that the therapy that these ladies have been on, the maintenance therapy with opioids is sufficient to cause damage and lead to FAS -- NAS.

Q. And then let me ask you the bookend to that question, then:

We've talked about, and maybe we don't have to go through all of them, and there are a number of studies, that talk about post-birth factors that can affect NAS babies' development, cognitive abilities, academic performance, all of that, right? We have their environment, both family, geographic, socioeconomic, their education, their exposure to other bad substances after birth, all of which will impact how they perform on testing later on, right?

A. Yeah.

2.0

2.4

Q. Okay. And you -- I take it you've seen -- you cited to a number of those studies. You've cited to Desai, you've cited to Patrick, Conradt, Gee and Wolff and Yazdy.

Those are some of the papers that

Page 302

you cited to that list a whole host of environmental variables after birth that impact a child's cognitive and neurobiologic development over time, right? Yes?

A. Yes.

1

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

2.0

21

22

23

24

Q. So the question is:

Have you done anything in your work to somehow quantify or assess the differential contribution of all of these post-birth factors that you acknowledge can impact the cognitive and neural performance of these NAS babies?

- A. Of course, there's a large proportion of these babies who were removed from their birth mother and fostered.
  - O. Yeah.
- A. And there's quite an interesting paper that's come out by Niga recently which assesses the progress of children who are still with their birth mother, while you might assume that conditions are not ideal with foster children, and they find no difference in outcome.
  - O. Um-hmm.
  - A. So -- and then the other thing is

Page 319 to fetal life, right? 1 2 Yes, it has vulnerability which the 3 adult doesn't have. And you agree that the brain has 4 vulnerabilities in infancy as well? 5 Oh, yes. It's still in a very big 6 state of flux. 7 Ο. There you go. 8 9 And this is where it says: For instance, early separation from 1.0 11 caregivers, abuse, neglect or social deprivation in infancy or early childhood can 12 produce enduring behavioral and neurocognitive 1.3 14 deficits. 15 You agree with that, right? Let me just read it again. 16 Α. 17 For instance, early separation from 0. 18 caregivers, abuse, negligent or social deprivation in infancy or early childhood can 19 20 produce enduring, behavioral and neurocognitive 21 deficits. And they cite to Carpenter and 22 23 Stacks 2009 and Kreppner 2007. 2.4 MR. THOMPSON: Objection, beyond the

Page 320 scope of his testimony. 1 BY MS. FUJIMOTO: 2 3 Q. Do you agree with that? I think Dr. Anand will be able to Α. 4 address that. 5 I assume you don't have any basis to 6 7 disagree with it, though? It's been published, and I don't 8 9 disagree with it, but it's not in my scope. All right. Okay. Last one on this 10 paper, 161 Conclusions and Future Directions. 11 12 Do you have it? Yes, I do. 13 Α. 14 Ο. It says: 15 Evolving experimental approaches have afforded us an increasingly nuanced view 16 17 of the development of the brain's circuits as a 18 protracted and even lifelong series of 19 interrelated and partially overlapping 20 iterative, biological processes that follow 21 their own time courses across different brain regions. 22 23 That's accurate, isn't it? 24 Α. Yes.



Page 396 reject the fact that there was a risk of 1 2 teratogenic effect? 3 MS. FUJIMOTO: Object to form, foundation, documents speak for themselves, 5 outside the scope of his review, and opinions based on prior testimony. 6 7 THE WITNESS: They didn't. By omission, they didn't mention any of this. 8 9 MR. THOMPSON: No further questions. Your witness. 1.0 11 Mr. Duncan, how much time do we 12 have left? 13 THE VIDEOGRAPHER: Total elapsed time is 7 hours and 8 minutes. 14 MS. FUJIMOTO: I still had, what, 15 32 minutes left? 16 17 THE VIDEOGRAPHER: 25 minutes. 18 FURTHER EXAMINATION BY MS. FUJIMOTO: 19 2.0 Q. Okay. Doctor, just following up on 21 your most recent testimony on a common mode of action, you were talking about the mu receptor 22 23 and all that. I'm not going to go through it all; we have already been through it today, but 24

Veritext Legal Solutions
www.veritext.com
888-391-3376

Page 397 my question to you is when you reference a 1 2 common mode of action, we have already 3 discussed and you've confirmed that there would be no such effect before, say, three weeks, 5 right, because mu opioid receptors and the other opioid receptors are not expressed in the 6 fetal brain, right? 7 That's right, and that's the period 8 of refractory. 9 Exactly. So they can't have any 10 0. teratogenic effects then, right? 11 I suppose they could have lethal 12 13 effects, but they would not have teratogenic 14 effects. 15 Okay. And we have already discussed about the variability of impact depending upon 16 timing of exposure, right? 17 18 Α. Yes. Okay. Going back to the questions 19 20 Mr. -- plaintiffs' counsel asked you regarding 21 Olney and Creeley, do you remember those? 22 Α. Vaquely. 23 Ο. Okay. The two papers -- two of the 24 several papers you and I talked about regarding

## VERITEXT LEGAL SOLUTIONS COMPANY CERTIFICATE AND DISCLOSURE STATEMENT

Veritext Legal Solutions represents that the foregoing transcript is a true, correct and complete transcript of the colloquies, questions and answers as submitted by the court reporter. Veritext Legal Solutions further represents that the attached exhibits, if any, are true, correct and complete documents as submitted by the court reporter and/or attorneys in relation to this deposition and that the documents were processed in accordance with our litigation support and production standards.

Veritext Legal Solutions is committed to maintaining the confidentiality of client and witness information, in accordance with the regulations promulgated under the Health Insurance Portability and Accountability Act (HIPAA), as amended with respect to protected health information and the Gramm-Leach-Bliley Act, as amended, with respect to Personally Identifiable Information (PII). Physical transcripts and exhibits are managed under strict facility and personnel access controls. Electronic files of documents are stored in encrypted form and are transmitted in an encrypted fashion to authenticated parties who are permitted to access the material. Our data is hosted in a Tier 4 SSAE 16 certified facility.

Veritext Legal Solutions complies with all federal and State regulations with respect to the provision of court reporting services, and maintains its neutrality and independence regardless of relationship or the financial outcome of any litigation. Veritext requires adherence to the foregoing professional and ethical standards from all of its subcontractors in their independent contractor agreements.

Inquiries about Veritext Legal Solutions' confidentiality and security policies and practices should be directed to Veritext's Client Services Associates indicated on the cover of this document or at www.veritext.com.